School of Law Elective – Summer 2015/2016
LLB 327 Securities Regulation

What were the implications of the Global Financial Crisis for Australia, and who were the winners and losers? Will the historical boom/bust cycle of financial markets continue, resulting in even more severe crises in the future? These are just some of the questions addressed in Securities Regulation, where students learn how to analyse the laws related to financial markets and products in Australia in the context of law reform internationally.

The subject covers the regulation of the primary and secondary capital markets, and the application of these laws in the corporate and commercial sectors. International developments in securities regulation are also discussed.

Specific topics may include: History and Globalization of Markets; Definition of Security and Financial Product; Regulating Offers of Securities; Regulating Offers of Financial Products; Liability for Defective Disclosure; Continuous Disclosure; Insider Trading; Takeovers; and International Securities Regulation.

For queries in relation to this subject please contact Prof Nan Seuffert at nseuffer@uow.edu.au.